

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company	U65990TN2014PTC096252	<b>Pre-fill</b>						
Global Location Number (GLN) of the company								
* Permanent Account Number (PAN) of the company	AAUCS6880M							
(ii) (a) Name of the company	SAMUNNATI FINANCIAL INTER							
(b) Registered office address	Baid Hi Tech Park, 8th Floor, No 129 B, East Coast Road, Thiruvanmiyur Chennai Chennai Tamil Nadu 600041							
(c) *e-mail ID of the company	secretarial@samunnati.com							
(d) *Telephone number with STD code	044466762400							
(e) Website	www.samunnati.com							
(iii) Date of Incorporation	23/06/2014							
(iv)	<table border="1"><thead><tr><th>Type of the Company</th><th>Category of the Company</th><th>Sub-category of the Company</th></tr></thead><tbody><tr><td>Private Company</td><td>Company limited by shares</td><td>Indian Non-Government company</td></tr></tbody></table>		Type of the Company	Category of the Company	Sub-category of the Company	Private Company	Company limited by shares	Indian Non-Government company
Type of the Company	Category of the Company	Sub-category of the Company						
Private Company	Company limited by shares	Indian Non-Government company						
(v) Whether company is having share capital	<input checked="" type="radio"/> Yes	<input type="radio"/> No						
(vi) *Whether shares listed on recognized Stock Exchange(s)	<input type="radio"/> Yes	<input checked="" type="radio"/> No						

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

**Pre-fill All**

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	SAMUNNATI AGRO SOLUTIONS	U74999TN2016PTC112925	Subsidiary	100
2	SAMUNNATI FOUNDATION	U85300TN2020NPL134814	Subsidiary	100
3	SAMUNNATI FINANCE PRIVATE	U65990TN2021PTC146392	Subsidiary	100
4	SAMUNNATI INVESTMENT MAN	U67190TN2022PTC150411	Subsidiary	100
5	KAMATAN FARM TECH PRIVAT	U74999TN2017PTC149059	Subsidiary	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	750,000	573,861	573,861	573,861
Total amount of equity shares (in Rupees)	7,500,000	5,738,610	5,738,610	5,738,610

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>EQUITY SHARES</b>				
Number of equity shares	750,000	573,861	573,861	573,861
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	7,500,000	5,738,610	5,738,610	5,738,610

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	1,750,000	1,683,819	1,683,819	1,683,819
Total amount of preference shares (in rupees)	17,500,000	16,838,190	16,838,190	16,838,190

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>COMPULSORILY CONVERTIBLE PREFERENCE SHARES</b>				
Number of preference shares	1,750,000	1,683,819	1,683,819	1,683,819
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	17,500,000	16,838,190	16,838,190	16,838,190

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	229,220	344,641	573,861	5,738,610	5,738,610	
<b>Increase during the year</b>	0	0	0	23,000	23,000	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	
iii. Bonus issue	0	0	0	0	0	0

iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				23,000	23,000	
Conversion of Physical to Demat						
<b>Decrease during the year</b>	0	0	0	23,000	23,000	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				23,000	23,000	
Conversion of Physical to Demat						
<b>At the end of the year</b>	229,220	344,641	573861	5,738,610	5,738,610	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	725,982	890,213	1616195	16,161,950	16,161,950	
<b>Increase during the year</b>	67,624	0	67624	721,830	721,830	812,955,441
i. Issues of shares	67,624	0	67624	676,240	676,240	812,955,441
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				45,590	45,590	
Conversion of Physical to Demat						
<b>Decrease during the year</b>	0	0	0	45,590	45,590	0
i. Redemption of shares	0	0	0	0	0	
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				45,590	45,590	
Conversion of Physical to Demat						
<b>At the end of the year</b>	793,606	890,213	1683819	16,838,190	16,838,190	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

**(iv) \* Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	119,180	Refer Annexure	5,176,100,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			<b>5,176,100,000</b>

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	4,091,350,000	1,953,000,000	868,250,000	5,176,100,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

1,692,460,000

**(ii) Net worth of the Company**

5,076,080,000

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	243,257	42.39	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0

6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	0	0
10.	Others	0	0	0	0
	<b>Total</b>	243,257	42.39	0	0

**Total number of shareholders (promoters)**

2

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	6,094	1.06	42,409	2.52
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	110,987	19.34	1,641,410	97.48
10.	Others            ESOP Trust	213,523	37.21	0	0



	<b>Total</b>	330,604	57.61	1,683,819	100
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**Total number of shareholders (other than promoters)**

19

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

21

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	17	19
Debenture holders	19	22

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	0	1	0	10.55	0
<b>B. Non-Promoter</b>	1	3	1	3	0.05	0
(i) Non-Independent	1	0	1	0	0.05	0
(ii) Independent	0	3	0	3	0	0
<b>C. Nominee Directors representing</b>	0	4	0	4	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	4	0	4	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	2	7	2	7	10.6	0

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

12

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SUNIL SATYAPAL GUL	00016990	Director	0	
MAHENDRAN BALACH	00121640	Nominee director	0	
ANIL SOMANAPALLI K	AGQPS1971G	CEO	0	
ANIL SOMANAPALLI K	01189011	Director	238,257	
NARASIMHAN SRINIVA	01501266	Director	0	
VENKATESH TAGAT	02728441	Director	0	
GURUNATH NEELAMA	02799586	Whole-time directo	1,200	
ASHOK TUKARAM DH/	AECPD4476R	CFO	0	22/04/2022
AKSHAY DUA	03144843	Director	0	
JYOTSNA KRISHNAN	06572288	Nominee director	0	
REKHA NATRAJAN UN	08354141	Nominee director	0	
Arun Kumar	AIPPA8757R	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
VENKATA RAMAN ASH	ASBPA2554Q	Company Secretar	15/09/2021	Cessation
ARUN KUMAR	AIPPA8757R	Company Secretar	11/03/2022	Appointment

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

4

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
EXTRAORDINARY GENER	09/04/2021	19	11	96.93
EXTRAORDINARY GENER	19/04/2021	21	11	96.82
ANNUAL GENERAL MEETI	31/08/2021	21	13	97.91
EXTRAORDINARY GENER	07/03/2022	21	11	96.78

## B. BOARD MEETINGS

\*Number of meetings held

12

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	09/04/2021	9	7	77.78
2	16/04/2021	9	7	77.78
3	24/06/2021	9	8	88.89
4	29/06/2021	9	9	100
5	29/07/2021	9	8	88.89
6	07/09/2021	9	6	66.67
7	28/10/2021	9	7	77.78
8	11/11/2021	9	8	88.89
9	18/01/2022	9	9	100
10	02/02/2022	9	7	77.78
11	10/02/2022	9	7	77.78
12	11/03/2022	9	7	77.78

## C. COMMITTEE MEETINGS

Number of meetings held

38

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	15/06/2021	3	3	100
2	AUDIT COMM	29/06/2021	3	3	100
3	AUDIT COMM	29/07/2021	3	3	100
4	AUDIT COMM	28/10/2021	3	3	100
5	AUDIT COMM	09/11/2021	3	3	100
6	AUDIT COMM	18/01/2022	3	3	100
7	AUDIT COMM	02/02/2022	3	3	100
8	AUDIT COMM	10/02/2022	3	3	100
9	NOMINATION	22/06/2021	6	6	100
10	NOMINATION	19/08/2021	6	6	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	05/09/2022
								(Y/N/NA)
1	SUNIL SATYA	12	12	100	16	16	100	Yes
2	MAHENDRAN	12	4	33.33	0	0	0	No
3	ANIL SOMAN	12	11	91.67	30	29	96.67	No
4	NARASIMHAN	12	12	100	14	14	100	No
5	VENKATESH	12	12	100	6	6	100	Yes
6	GURUNATH M	12	11	91.67	27	26	96.3	Yes
7	AKSHAY DUA	12	11	91.67	11	10	90.91	No
8	JYOTSNA KR	12	7	58.33	5	5	100	No
9	REKHA NATR	12	10	83.33	3	3	100	No

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	GURUNATH NEEL	WHOLE TIME D	11,860,000	0			11,860,000
	Total		11,860,000	0	0		11,860,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ANIL SOMANAPAL	CEO	21,750,000	0		0	21,750,000
2	ASHOK TUKARAM	CFO	16,940,000	0		0	16,940,000
3	VENKATA RAMAN	Company Secre	280,000	0		0	280,000
4	ARUN KUMAR	Company Secre	100,000	0		0	100,000
	Total		39,070,000	0	0	0	39,070,000

Number of other directors whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUNIL SATYAPAL	DIRECTOR	0	0	0	1,260,000	1,260,000
2	NARASIMHAN SRII	DIRECTOR	0	0	0	1,110,000	1,110,000
3	VENKATESH TAGA	DIRECTOR	0	0	0	760,000	760,000
	Total		0	0	0	3,130,000	3,130,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

1

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status
SAMUNNATI FINA	BSE	31/07/2021	Reg. 52(1) of SEBI (	Fine 1,77,000/-	NA

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

C PRABHAKAR

Whether associate or fellow

Associate  Fellow

Certificate of practice number

11033

**I/We certify that:**

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...

18

dated

05/08/2022

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

To be digitally signed by

Director

ANIL KUMAR  
GOPALA  
KRISHNA  
SOMANAPALLI  
Digitally signed by ANIL  
KUMAR GOPALA  
KRISHNA  
SOMANAPALLI  
Date: 2022.11.03  
13:01:52 +05'30'

DIN of the director

01189011

To be digitally signed by

Arun  
Kumar  
Digitally signed by  
Arun Kumar  
Date: 2022.11.03  
13:02:46 +05'30'

Company Secretary

Company secretary in practice

Membership number

7112

Certificate of practice number

### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

### List of attachments

List of Shareholders\_March 31 2022.pdf  
List of Debenture holders\_March 31 2022.p  
SAMFIN MGT-8 21-22 SD.pdf  
Addendum to Point IX C of MGT 7.pdf  
Clarification Note for Point X of MGT 7.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



# Samunnati

Samunnati Financial Intermediation & Services Private Limited Shareholding Pattern as on March 31, 2022					
S. No.	Name of the Shareholder	Equity Shares	CCPS	No. of Shares on fully diluted basis	% of holding on fully diluted basis
1	Mr. Anil Kumar S G	2,38,257	0	2,38,257	10.55
2	Mrs. Poorna Pushkala acting as the Trustee for Samunnati Employees Stock Option Plan Welfare Trust	2,13,523	0	2,13,523	9.46
3	Accel India V (Mauritius) Ltd	75,733	3,74,949	4,50,682	19.96
4	Elevor I-IV AIF	19,746	1,18,957	1,38,703	6.14
5	responsAbility Agriculture I, SLP	7,637	3,66,594	3,74,231	16.58
6	Elevor- M- III	7,571	4,21,522	4,29,093	19.01
7	Mrs. Seethalakshmi Krishnamurthy	5,000	0	5,000	0.22
8	Mr. Nitin Chaudhary	2,815	0	2,815	0.12
9	Mr. N. Gurunath	1,200	0	1,200	0.05
10	Mr. Sridhar Easwaran	1,080	0	1,080	0.05
11	Mr. Ritesh Nair	519	0	519	0.02
12	Ms. Poorna Pushkala	450	0	450	0.02
13	Accel Growth Fund V L.P	100	1,10,075	1,10,175	4.88
14	responsAbility SICAV(Lux) Micro and SME Finance Leaders	100	22,583	22,683	1.00
15	Teachers Insurance and Annuity Association of America	100	2,26,730	2,26,830	10.05
16	Mr. Jayottam Ganguly	10	0	10	0.00
17	Mr. Jagadeesan J	10	0	10	0.00
18	Mr. Suresh Babu G	10	0	10	0.00
19	Mr. Ramaraj Rajasekhar	0	37,850	37,850	1.68
20	Mr. Pravesh Sharma	0	1,912	1,912	0.08
21	Mr. Amol Patil	0	2,647	2,647	0.12
	<b>Total</b>	<b>5,73,861</b>	<b>16,83,819</b>	<b>22,57,680</b>	<b>100</b>

For Samunnati Financial Intermediation & Services Private Limited

*S. Arun Kumar*

**S Arun Kumar**  
Company Secretary



**Samunnati Financial Intermediation & Services Pvt. Ltd.**

Regd. Office & Corporate Office:  
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ECR Road, Thiruvanniyur, Chennai – 600041.

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CIN - U65990TN2014PTC096252



List of Debenture Holders As on March 31, 2022					
Sr No.	Name of the Debenture Holder	Type	No. of Debentures	Nominal Value (INR)	Total Value (INR)
1.	Nederlandse Financierings - Maatschappij Voor Ontwikkelingslanden N.V. (FMO)	Non-Convertible Debentures	7,062	50,000	35,31,00,000
2.	Triodos SICAV II - Triodos Microfinance Fund	Non-Convertible Debentures	150	10,00,000	15,00,00,000
3.	Triodos Fair Share Fund	Non-Convertible Debentures	150	10,00,000	15,00,00,000
4.	Stichting Hivos Triodos Fund	Non-Convertible Debentures	150	10,00,000	15,00,00,000
5.	CSB Bank	Non-Convertible Debentures	150	5,00,000	7,50,00,000
6.	DCB Bank	Non-Convertible Debentures	50	10,00,000	5,00,00,000
7.	Bank of India	Non-Convertible Debentures	250	10,00,000	25,00,00,000
8.	Masala Investments Sarl	Non-Convertible Debentures	50,625	5,000	25,31,25,000
9.	AAV Sarl	Non-Convertible Debentures	50,625	5,000	25,31,25,000
10.	UTI International Wealth Creator 4	Non-Convertible Debentures	330	8,75,000	28,87,50,000
11.	Nederlandse Financierings - Maatschappij Voor Ontwikkelingslanden N.V. (FMO)	Non-Convertible Debentures	448	10,00,000	44,80,00,000
12.	Triodos SICAV II - Triodos Microfinance Fund	Non-Convertible Debentures	224	10,00,000	22,40,00,000
13.	Triodos Fair Share Fund	Non-Convertible Debentures	224	10,00,000	22,40,00,000
14.	DWM Income Funds S.C.A SICAV SIF - The Trill Impact - DWM SDGs Credit Fund	Non-Convertible Debentures	177	10,00,000	17,70,00,000
15.	Stichting Juridisch Eigenaar ACTIAM Institutional Microfinance Fund III acting as legal owner of ACTIAM Financial Inclusion Fund	Non-Convertible Debentures	177	10,00,000	17,70,00,000
16.	Blue Orchard Microfinance Fund	Non-Convertible Debentures	400	10,00,000	40,00,00,000

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17.	Masala Investments Sarl	Non-Convertible Debentures	1,725	1,00,000	17,25,00,000
18.	AAV Sarl	Non-Convertible Debentures	1,725	1,00,000	17,25,00,000
19.	Incofin CVSO	Non-Convertible Debentures	244	10,00,000	24,40,00,000
20.	Vivriti India Impact Bond Fund	Non-Convertible Debentures	3,700	1,00,000	37,00,00,000
21.	Covid-19 Emerging and Frontier Markets MSME Support Fund SCSp SICAV-RAIF ("Covid Fund")	Non-Convertible Debentures	297	10,00,000	29,70,00,000
22.	Microfinance Initiative for Asia Debt Fund ("MIFA Fund")	Non-Convertible Debentures	297	10,00,000	29,70,00,000
	<b>Total</b>		<b>1,19,180</b>		<b>5,17,61,00,000</b>

For Samunnati Financial Intermediation & Services Private Limited

*S. Arun Kumar*

S Arun Kumar  
Company Secretary



**Samunnati Financial Intermediation  
& Services Pvt. Ltd.**

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**FORM NO. MGT-8**

*[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies  
(Management and Administration) Rules, 2014]*

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I, C Prabhakar, Partner of BP & Associates have examined the registers, records, books and papers of **Samunnati Financial Intermediation & Services Private Limited** (the Company) CIN No. U65990TN2014PTC096252 having its Registered Office situated at Baid Hi Tech Park, 8<sup>th</sup> Floor, No 129 B, East Coast Road, Thiruvanmiyur Chennai 600041 Tamil Nadu as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on 31<sup>st</sup> March, 2022. In my opinion and to the best of my information and according to the examinations and test checks carried out by me and explanations furnished by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the company has generally complied with provisions of the Act & Rules made thereunder in respect of:
  1. Its status under the Act;
  2. Statutory Registers have been duly maintained by the Company and are updated at regular intervals under the Compliance of Companies Act, 2013 and rules made thereunder;
  3. The Company has duly filed forms and returns with the Registrar of Companies, Regional Director, and Central Government, the Tribunal, court or other authorities within the prescribed time;
  4. The Company had called, convened, held meetings of Board of Directors and the meetings of the members on due dates as stated in the annual return in respect of which meetings, proper notices were given, and the proceedings have been properly recorded in the Minutes Book/Registers maintained for the purpose and the same have been signed. Secretarial Standards with respect to Board Meetings and General Meeting are generally complied; Circular resolutions passed by the Company have been taken note of and recorded in the Minutes Book/Registers maintained for the purpose and the same have been signed;
  5. The company has not closed its register of members during the financial year 2021-2022;
  6. The company has not made any advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
  7. The company has entered into Contracts/Arrangements with related parties in the ordinary course of business and which were at arm's length basis; as specified in Section 188 of the Companies Act, 2013 and the rules made thereunder;





8. During the period under review, the Company did not allot any Equity Shares However, the company has issued & allotted Series D1 Compulsorily Convertible Cumulative Preference shares, Non-Convertible Debentures and necessary certificates were issued physically and electronically respectively and there were partial redemption of Non-Convertible Debentures had taken place.

Except the above, The Company has not made any transfer or transmission or buy back of securities/ redemption of preference shares / reduction of share capital/ conversion of shares/ securities;

9. The company has not kept in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.
10. During the period under review, the Company has not declared, paid, transferred unpaid/unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act;
11. Financial Statement and Report of Board of Director's has been approved and signed as per section 134 of the Companies Act, 2013 and report of directors are as per Section 134 (3), (4) and (5) of the Act;
12. The Company has duly constituted the Board of Directors. The Appointment/ Re-Appointment of Key Managerial Personnel (KMP) and Director are compiled as per the provisions of the Companies Act, 2013.

- A. During the year under review, there were changes in Key Managerial Personnel as follows:

<b>S. No</b>	<b>Name of the Key Managerial Personnel</b>	<b>Particulars of Changes</b>
1	Mrs. Venkatraman Ashwini	Resigned as Company Secretary of the company w.e.f 15 <sup>th</sup> September 2021.
2	Mr. Arun Kumar	Appointed as Company Secretary of the company w.e.f 11 <sup>th</sup> March 2022.

13. During the year under review, the company has appointed M/s. PKF Shridhar & Santhanam LLP, Chartered accountants, Chennai as statutory auditors of the company as per section 139 of the act in place of Casual Vacancy caused by M/s. Walker Chandiok & Co LLP, Chartered Accountants, Chennai.
14. The Company had filed a joint application before the National Company Law Tribunal on 04th December 2021 seeking directions for convening of the Meeting of concerned Stakeholders and the National Company Law Tribunal had passed an Order dated March 29, 2022 (CA/ (CAA) 118(CHE)2021) directing the company to convene of the Meeting of concerned Stakeholders. Except above, there were no such other events occurred which required approval from Regularity Authorities.





15. The company has not accepted/renewed/repaid any deposits from the public during the financial year 2021-2022.
16. During the period under review, the company borrowed loans from Financial Institution, Banks and others. The provisions of creation/modification/satisfaction of charges in that respect, were duly complied during the year under review.
17. During period under review, the company has extended guarantee(s) to Samunnati Agro Solutions Private Limited Further, the Company has given loan and made investment to its Subsidiary Companies and no loans or guarantees or securities were provided to bodies corporate or persons falling under the provisions of section 186 of the Act.
18. The Company has restated the Articles of Association however, no alteration in the Memorandum of Association of the company during the year under review.

PRABHAKAR  
CHANDRASEKARAN

Digitally signed by PRABHAKAR  
CHANDRASEKARAN  
Date: 2022.11.02 18:37:14 +05'30'

**Date: 02/11/2022**  
**Place: Chennai**

**C Prabhakar**  
**Partner of BP & Associates**  
**Company Secretaries**  
**M No. F11722 | COP: 11033**  
**UDIN: F011722D001452568**





**'ANNEXURE A TO FORM MGT-8'**

To,

**Samunnati Financial Intermediation & Services Limited**

Baid Hi Tech Park, 8<sup>th</sup> Floor,  
No 129 B, East Coast Road,  
Thiruvanmiyur Chennai 600041

Our Certificate on the Annual Return (Form MGT-8) of even date is to be read along with this letter.

1. Maintenance of Secretarial record is the responsibility of the management of the Company. Our responsibility is to express an opinion on these secretarial records based on our audit.
2. We have followed the audit practices and process as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. The verification was done on test basis to ensure that correct facts are reflected in the Annual Return (Form MGT-7). We believe that the process and practices, we followed provide a reasonable basis for our opinion.
3. The Compliance of the provisions of Companies Act, 2013, rules, regulations, standards is the responsibility of management. Our examination was limited to the verification of records on test basis.

PRABHAKAR  
CHANDRASEKARAN

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CHANDRASEKARAN  
Date: 2022.11.02 18:37:36  
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**Date: 02/11/2022**

**Place: Chennai**

**C Prabhakar**  
**Partner of BP & Associates**  
**Company Secretaries**  
**M No. F11722 | COP: 11033**





**ADDENDUM TO FORM MGT-7**
**POINT NO. IX. C - MEETINGS OF THE COMMITTEES OF BOARD OF DIRECTORS**

Sr No.	TYPE OF MEETING	DATE OF MEETING	TOTAL NO. OF MEMBERS AS ON THE DATE OF MEETING	ATTENDANCE	
				NUMBER OF MEMBERS ATTENDED	% ATTENDANCE
1.	AUDIT COMMITTEE	June 15, 2021	3	3	100
2.	AUDIT COMMITTEE	June 29, 2021	3	3	100
3.	AUDIT COMMITTEE	July 29, 2021	3	3	100
4.	AUDIT COMMITTEE	October 28, 2021	3	3	100
5.	AUDIT COMMITTEE	November 09, 2021	3	3	100
6.	AUDIT COMMITTEE	January 18, 2022	3	3	100
7.	AUDIT COMMITTEE	February 02, 2022	3	3	100
8.	AUDIT COMMITTEE	February 10, 2022	3	3	100
9.	NOMINATION & REMUNERATION COMMITTEE	June 22, 2021	6	6	100
10.	NOMINATION & REMUNERATION COMMITTEE	August 19, 2021	6	6	100
11.	NOMINATION & REMUNERATION COMMITTEE	February 09, 2022	6	6	100
12.	RISK MANAGEMENT COMMITTEE	June 15, 2021	6	6	100
13.	RISK MANAGEMENT COMMITTEE	November 11, 2021	6	6	100
14.	RISK MANAGEMENT COMMITTEE	February 09, 2022	6	4	66.67
15.	STAKEHOLDERS RELATIONSHIP COMMITTEE	February 09, 2022	3	3	100
16.	IT STRATEGY COMMITTEE	September 07, 2021	4	3	75
17.	IT STRATEGY COMMITTEE	February 09, 2022	5	5	100
18.	CORPORATE SOCIAL RESPONSIBILITY COMMITTEE	June 15, 2021	4	4	100
19.	CORPORATE SOCIAL RESPONSIBILITY COMMITTEE	February 07, 2022	4	4	100
20.	FINANCE COMMITTEE	April 26, 2021	2	2	100
21.	FINANCE COMMITTEE	May 26, 2021	2	2	100
22.	FINANCE COMMITTEE	June 17, 2021	2	2	100

**Samunnati Financial Intermediation & Services Pvt. Ltd.**

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CIN - U65990TN2014PTC096252



# Samunnati

23.	FINANCE COMMITTEE	June 30, 2021	2	2	100
24.	FINANCE COMMITTEE	July 20, 2021	2	2	100
25.	FINANCE COMMITTEE	August 20, 2021	2	2	100
26.	FINANCE COMMITTEE	September 09, 2021	2	2	100
27.	FINANCE COMMITTEE	September 17, 2021	2	2	100
28.	FINANCE COMMITTEE	September 24, 2021	2	2	100
29.	FINANCE COMMITTEE	October 11, 2021	2	2	100
30.	FINANCE COMMITTEE	November 23, 2021	2	2	100
31.	FINANCE COMMITTEE	December 16, 2021	2	2	100
32.	FINANCE COMMITTEE	December 28, 2021	2	2	100
33.	FINANCE COMMITTEE	December 31, 2021	2	2	100
34.	FINANCE COMMITTEE	January 20, 2022	2	2	100
35.	FINANCE COMMITTEE	February 18, 2022	2	2	100
36.	FINANCE COMMITTEE	March 02, 2022	2	2	100
37.	FINANCE COMMITTEE	March 17, 2022	2	2	100
38.	FINANCE COMMITTEE	March 28, 2022	2	2	100

## INDEPENDENT DIRECTORS MEETING

1.	Independent Directors Meeting	March 26, 2022	3	3	100
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For Samunnati Financial Intermediation & Services Private Limited

*S. Arun Kumar*

**S Arun Kumar**  
Company Secretary



**Samunnati Financial Intermediation & Services Pvt. Ltd.**

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**NOTE ON FORM MGT- 7****POINT X - REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

In addition to the details captured in the Form MGT-7 for the period ended March 31, 2022, the details of Number of Stock Option in force to the Directors and Managerial personnel of the Company are as given below:

S. No	Name	Designation	Number of Stock Option in force as on March 31, 2022
1	Mr. Gurunath Neelamani	Whole Time Director	10,598
2	Mr. Anil Kumar S G	Director & CEO	73,529
	<b>Total</b>		<b>84,127</b>

For **SAMUNNATI FINANCIAL INTERMEDIATION & SERVICES PRIVATE LIMITED**

ANIL KUMAR  
GOPALA  
KRISHNA  
SOMANAPALLI

Digitally signed by  
ANIL KUMAR  
GOPALA KRISHNA  
SOMANAPALLI  
Date: 2022.11.03  
09:53:38 +05'30'

**Anil Kumar S G**  
**Director & CEO**  
**DIN: 01189011**