

Ref: Samfin/BSE/81/2023-24

Date: January 03, 2024

To,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai 400 001

Dear Sir/Madam,

Subject: Compliance certificate pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (“Regulations”)

BSE Scrip Code: 958328

Pursuant to the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find attached the Compliance Certificate for the quarter ended December 31, 2023.

This is for your information and records.

Thanking you,

Yours faithfully,

For Samunnati Financial Intermediation & Services Private Limited

S Arun Kumar
Company Secretary & Compliance Officer

Enclosure: As above

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2023

[Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015]

I, S Arun Kumar, Company Secretary & Compliance Officer of Samunnati Financial Intermediation & Services Private Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture **7 (Seven)** number of events during the quarter ended and has captured **7 (Seven)** number of the said required events.

For Samunnati Financial Intermediation & Services Private Limited

S Arun Kumar
Company Secretary & Compliance Officer

Date: January 03, 2024
Place: Chennai